# SEP 1 3 2001 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE IN RE APPLICATION OF: Sprick Serial No.09/933,919 Filed: 08/21/01 For: CLOSURE HAVING AN IMPROVED THREAD DESIGN

# RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Honorable Commissioner of Patents and Trademarks

ATTENTION: BOX MISSING PARTS

Washington, D.C. 20231

Dear Sirs:

Enclosed are the documents to comply with the Notice to File Missing Parts of NonProvisional Application:

- 1. Transmittal Form;
- 2. Copy of Notice to File Missing Parts of NonProvisional Application;
- 3. Declaration for two inventors (including Application Data Sheet);
- 4. Fee Transmittal Form;
- 5. Credit Card payment form for late oath filing fee;
- 6. Return postcard evidencing receipt of items.

If you have any questions, please do not hesitate to contact us.

Respectfully submitted,

John F. Salazar, Reg. No. 39,353

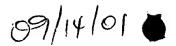
Middleton Reutlinger

2500 Brown & Williamson Tower

Louisville, Kentucky 40202

jsalazar@middreut.com

encls





Signature

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PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE e Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/933,919 Application Number TRANSMITTAL 08/21/2001 Filing Date **FORM** SPRICK First Named Inventor Group Art Unit (to be used for all correspondence after initial filing) **Examiner Name** Attorney Docket Number CG-614 CIP Total Number of Pages in This Submission **ENCLOSURES** (check all that apply) After Allowance Communication Assignment Papers Fee Transmittal Form (for an Application) to Group Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Provisional Application Affidavits/declaration(s) Status Letter Power of Attorney, Revocation Change of Correspondence Other Enclosure(s) (please Extension of Time Request Address identify below): Terminal Disclaimer DECLARATION Express Abandonment Request **RETURN POSTCARD** Request for Refund Information Disclosure Statement CD, Number of CD(s) \_ Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm JOHN F. SALAZAR, REG. NO. 39,353 Individual name Signature Date ; EF900PP5JJJN2 **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: LYNN MINTON Typed or printed name

Burden Hour Statement: This form is estimated to ake 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Date

PTO/SB/17 (11-00)
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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

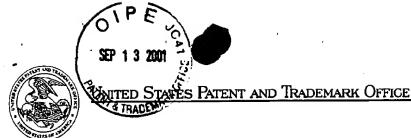
TOTAL	AMOUNT	OF	PAYMENT
IVIAL	AIN COIL	Ο.	. ~

(\$) 130.00

Complete if Known			
Application Number	09/933,919		
Filing Date	08/21/01		
First Named Inventor	SPRICK		
Examiner Name			
Group Art Unit			
Attorney Docket No.	CG-614-CIP		

METHOD OF PAYMENT	FEE CALCULATION (continued)					
The Commissioner is hereby authorized to charge	3. ADDITIONAL FEES					
1 indicated fees and credit any overpayments to:	Large Small					
Deposit Account	Entity Entity	ee Paid				
Number	Code (\$) Code (\$)					
Deposit Account Name	105 130 205 65 Surcharge - late filing fee or oath	30.00				
Charge Any Additional Fee Required	127 50 227 25 Surcharge - late provisional filing fee or cover sheet					
Under 37 CFR 1.16 and 1.17  Applicant claims small entity status.	139 130 139 130 Non-English specification					
See 37 CFR 1.27	147 2,520 147 2,520 For filing a request for ex parte reexamination					
2. Payment Enclosed:	112 920* 112 920* Requesting publication of SIR prior to					
☐ Check ✔ Credit card ☐ Money ☐ Other	Examiner action					
FEE CALCULATION	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action					
1. BASIC FILING FEE	115 110 215 55 Extension for reply within first month					
Large Entity Small Entity	116 390 216 195 Extension for reply within second month	·				
Fee Fee Fee Fee Description	117 890 217 445 Extension for reply within third month					
Code (\$) Code (\$) Fee Paid  101 710 201 355 Utility filing fee	118 1,390 218 695 Extension for reply within fourth month					
	128 1,890 228 945 Extension for reply within fifth month					
106 320 206 160 Design filing fee	119 310 219 155 Notice of Appeal					
108 710 208 355 Reissue filing fee	120 310 220 155 Filing a brief in support of an appeal					
114 150 214 75 Provisional filing fee	121 270 221 135 Request for oral hearing					
·	138 1,510 138 1,510 Petition to institute a public use proceeding					
SUBTOTAL (1) (\$) 0	140 110 240 55 Petition to revive - unavoidable					
2. EXTRA CLAIM FEES	141 1,240 241 620 Petition to revive - unintentional					
Fee from Extra Claims <u>below</u> <u>Fee Paid</u>	142 1,240 242 620 Utility issue fee (or reissue)					
Total Claims20** = X =	143 440 243 220 Design issue fee					
Independent - 3** = X = =	144 600 244 300 Plant issue fee					
Multiple Dependent	122 130 122 130 Petitions to the Commissioner					
	123 50 123 50 Processing fee under 37 CFR 1.17(q)					
Large Entity Small Entity Fee Fee Fee Fee Fee Description	126 180 126 180 Submission of Information Disclosure Stmt					
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	581 40 581 40 Recording each patent assignment per property (times number of properties)					
102 80 202 40 Independent claims in excess of 3	146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))					
104 270 204 135 Multiple dependent claim, if not paid 109 80 209 40 ** Reissue independent claims	149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))					
over original patent	179 710 279 355 Request for Continued Examination (RCE)					
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	169 900 169 900 Request for expedited examination of a design application					
SUBTOTAL (2) (\$) 0	Other fee (specify)					
**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 130.00	0				

SUBMITTED BY			Complete (i	Complete (if epplicable)		
Name (Print/Type)	JOHN SALAZAR	Registration No. (Attorney/Agent)	39,353	Telephone	(502) 584-1135	
Signature	Mary Sep		-	Date	9/13/01	







COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 2023I

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/933,919

MIDDLETON & REUTLINGER

2500 BROWN & WILLIAMSON TOWER

JOHN F. SALAZAR

LOUISVILLE, KY 40202

27868

08/21/2001

William Douglas Sprick

CG-614 CIP

**CONFIRMATION NO. 8046** 

**FORMALITIES LETTER** 

\*OC000000006519921\*

Date Mailed: 09/07/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- · The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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